

5-14-04

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PATENTS
7096/SYNX/JB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Martin R. Elliott, Robert B. Lowrance,
Michael R. Rice, Jeffrey C. Hudgens and Eric
A. Englhardt

Serial No. : 10/650,479

Filed : August 28, 2003

For : METHOD AND APPARATUS FOR SUPPLYING
SUBSTRATES TO A PROCESSING TOOL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following references:

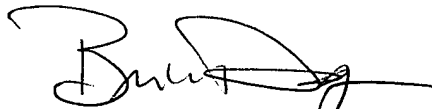
U.S. Patent No. 6,283,692 B1, Perlov et al.

U.S. Patent No. 6,280,134 B1, Nering

These references are also listed on the accompanying
Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this
patent application is respectfully requested.

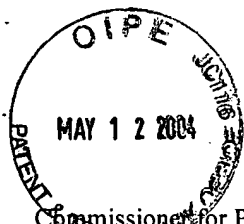
Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian M. Dugan", with a stylized flourish at the end.

Brian M. Dugan, Esq.
Registration No. 41,720
Dugan & Dugan, PC
Attorneys for Applicants
(914) 332-9081

Dated:

5/12/04
Tarrytown, New York



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Inventor(s): Martin R. Elliott, Robert B. Lowrance, Michael R. Rice, Jeffrey C. Hudgens and Eric A. Enghardt
Title: METHOD AND APPARATUS FOR SUPPLYING SUBSTRATES TO A PROCESSING TOOL
Serial No.: 10/650,479
Filed: August 28, 2003

Transmitted herewith is:

☒ PTO Form 1449 and Information Disclosure Statement with two cited reference.

☒ Return Postcards

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$84.00	\$0.00
Basic Filing Fee				\$740.00	\$0.00
TOTAL FEES					\$0.00

— The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:

**PATENT COUNSEL
APPLIED MATERIALS, INC.
Legal Affairs Department
P.O. BOX 450A
Santa Clara, CA. 95052**

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

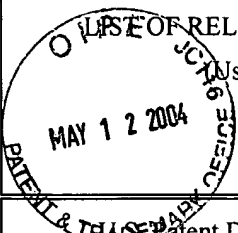
Express Mail Receipt No. EV403025206US

Date of Deposit: 5/13/04

Signature: [Signature]

Respectfully submitted,

[Signature]
Brian M. Dugan
Registration No. 41,720
(914) 332-9081

U.S. Department of Commerce, Patent and Trademark Office <div style="display: flex; align-items: center;">  <div> OFFICE OF RELEVANT ART CITED BY APPLICANT (Use several sheets if necessary) </div> </div>				Docket No.: 7096/SYNX/JB		Serial No.: 10/650,479	
				Applicants: Martin R. Elliott, et al.			
				Filing Date: August 28, 2003		Group: _____	

Patent Documents							
*Examiner Initial	Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-1	6,283,692 B1	09/04/01	Perlov et al.			
	US-2	6,280,134 B1	08/28/01	Nering			
	US-3						
	US-4						
	US-5						
	US-6						
	US-7						
	US-8						
	US-9						
	US-10						
	US-11						

Foreign Patent Documents							Translation	
	Document Number	Date	Country	Class	Subclass	Yes	No	
	F-1							
	F-2							
	F-3							
	F-4							
	F-5							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
	OT-1
	OT-2
	OT-3

Examiner	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.